

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2651

[Handwritten signature]

Applicant: WADA, et al.
Serial No.: 09/911,143
Filed: July 23, 2004
Confirmation No.: 4482

Examiner: Jorge L. Ortiz Criado
Group Art Unit: 2651
Docket: 10873.0772US01
Notice of Allow. N/A
Date:

Due Date: N/A

Title: OPTICAL ELEMENT, OPTICAL HEAD, OPTICAL RECORDING REPRODUCING APPARATUS AND OPTICAL RECORDING/ REPRODUCING METHOD

CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on December 28, 2004.

By: *[Signature]*
Name: Natalie C. Berland

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

23552

PATENT TRADEMARK OFFICE

Sir:

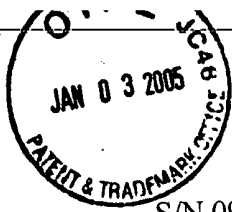
We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Supplemental Information Disclosure Statement, Form 1449, 0 Reference(s)
- ☒ Other: Form 892 from co-pending application
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.
P.O. Box 2903, Minneapolis, MN 55402-0903
612.332.5300

By: *[Signature]*
Name: Douglas P. Mueller
Reg. No.: 30,300
DPM/ncb



S/N 09/911,143

PATENT

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Applicant:	Wada, et al.	Examiner:	Jorge L. Ortiz Criado
Serial No.:	09/911,143	Group Art Unit:	2651
Filed:	July 23, 2001	Docket No.:	10873.0772US01
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By: 

Name: Natalie C. Berland

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. The applicant hereby informs the examiner that the references cited herewith were recently cited in co-pending application no. 10/892,009.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449. Also, in accordance with 37 C.F.R. § 1.97(c) or § 1.97(d), the undersigned hereby certifies that each item of information listed on the enclosed Form 1449 was first cited in a communication from the Examiner in a counterpart U.S. application within three months of filing this statement. In accordance with 37 C.F.R. § 1.704(d), the undersigned also hereby certifies that each item listed on the enclosed Form 1449 was cited in a communication from the Examiner in a counterpart U.S. application, and that this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty (30) days prior to

the filing of this Information Disclosure Statement. Accordingly, no patent term adjustment is due for the filing of this Information Disclosure Statement.

A copy of any foreign patent document or "Other Document" listed on the Form 1449 is enclosed, in accordance with 37 C.F.R. §1.98(a)(2). Because this application was filed after June 30, 2003, copies of the U.S. Patents and U.S. patent publications listed on the enclosed Form 1449 are not provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

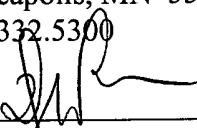
Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725

Respectfully submitted,

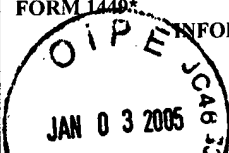
MERCHANT & GOULD P.C.
P.O. Box 2903
Minneapolis, MN 55402-0903
(612)332.5300

Dated: December 28, 2004

By: 
Douglas P. Mueller
Reg. No.: 30,300

DPM/ncb



FORM 1449*  INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)	Docket Number: 10873.0772US01	Application Number: 09/911143
	Applicant: WADA, et al.	
	Filing Date: July 23, 2001	Group Art Unit: 2651

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,690,500 B2	Feb, 2004	Ogasawara et al.			
	6,480,454 B1	Nov, 2002	Wada et al.			
	6,747,781 B2	June, 2004	Trisnadi, Jahja I.			
	6,785,035 B2	Aug, 2004	Uematsu et al.			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

23552

PATENT TRADEMARK OFFICE

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	